



CF-46

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew C. Gilbert
Application No. : 10/042,371 Confirmation No. : 6761
Filed : December 27, 2001
For : SYSTEMS AND METHODS FOR CREATING AND
TRADING DYNAMIC SECURITIES
Group Art Unit : 2164
Examiner : Not Yet Assigned

RECEIVED
FEB 04 2003
OFFICE OF PETITIONS

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

New York, New York 10020
January 22, 2003

TRANSMITTAL LETTER

RECEIVED
JAN 31 2003
GROUP 3600

Sir:

Transmitted herewith: ☒ a Preliminary Amendment;
☐ a Response to Examiner's Action; ☐ a Supplemental
Amendment; ☒ a Declaration; ☒ a Power of Attorney; ☒ a
Submission of Executed Declaration and Power of Attorney along
with a copy of the specification as filed; ☐ an Associate
Power of Attorney.

FEE FOR ADDITIONAL CLAIMS

☐ A fee for additional claims is not required.
☒ A fee for additional claims is required.

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE		ADDITIONAL FEES
TOTAL CLAIMS	47	-	29	* =	18	X	\$ 18	=	\$ 324.00
INDEPENDENT CLAIMS	3	-	3	** =	0	X	\$ 84	=	\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+	\$ 280	=	\$ 0.00

TOTAL	<u>\$ 324.00</u>
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[X] A check in the amount of \$324.00 in payment of the filing fee is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. _____ in payment of the filing fee.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

☐ The following extension is applicable to the Response filed herewith; ☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$410.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$930.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1450.00 extension fee for

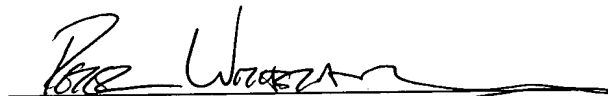
response within fourth month pursuant to 37 C.F.R.
§ 1.136 (a); [] \$1970.00 extension fee for response
within fifth month pursuant to 37 C.F.R. 1.136(a).

[] A check in the amount of [] \$110.00; [] \$410.00;
[] \$930.00; [] \$1450.00; [] \$1970.00; in payment
of the extension fee is transmitted herewith.

[] Please charge the [] \$110.00; [] \$410.00;
[] \$930.00; [] \$1450.00; [] \$1970.00; extension
fee to Deposit Account No. _____. A duplicate
copy of this transmittal letter is transmitted
herewith.

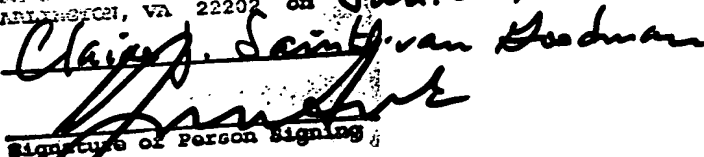
[X] The Director is hereby authorized to charge payment of
any additional fees required under 37 C.F.R. § 1.17 in
connection with the paper(s) transmitted herewith, or to
credit any overpayment of same, to Deposit Account No.
06-1075. A duplicate copy of this transmittal letter is
transmitted herewith.

Respectfully submitted,



Peter Withstandley
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Agent for Applicant
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Correspondence is being
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Priority P.O. BOX 2327
ARLINGTON, VA 22202 on Jan. 22, 2003


Signature of Person Signing